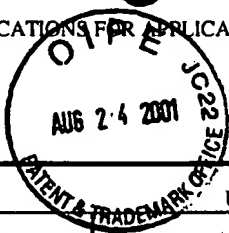


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FORM PTO-1449 (Modified)	ATTY. DOCKET NO. ROC920010184US1	SERIAL NO. 09/892,435
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT: Royan H. Bartley et al.	
	FILING DATE: June 27, 2001	GROUP: Unassigned



REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
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	AM													
	AN													
	AO													
	AP													

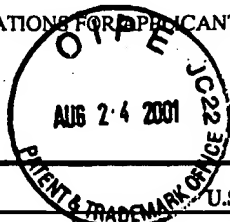
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/AB/ ↓ ↓ ↓	AR	U.S. Patent Application, "Apparatus, Method, and Business Method for Enabling Customer Access to Computer System Performance Data in Exchange for Sharing the Performance Data," Serial No. 09/892,424, filed on June 27, 2001.
		U.S. Patent Application, "Method and Apparatus for Automating Software Upgrades," Serial No. 09/912,975, filed on July 25, 2001.
	AS	U.S. Patent Application, "Method and Apparatus Upgrade Assistance Using Critical Historical Product Information," Serial No., 09/865,371, filed on May 25, 2001.
	AT	

EXAMINER /Asghar Bilgrami/ DATE CONSIDERED 04/26/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. ROC920010184US1	SERIAL NO. 09/892,435
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Royan H. Bartley et al.	
(Use several sheets if necessary)	FILING DATE: June 27, 2001	GROUP: Unassigned



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BI						
BJ						
BK						

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FOREIGN PATENT DOCUMENTS

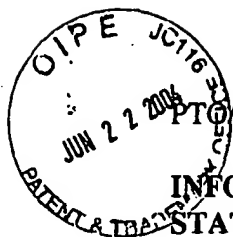
FOREIGN TRAVEL DOCUMENTS														
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	BL													
	BM													
	BN													
	BO													
	BP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/AB/	BR	U.S. Patent Application, "Physical Device Placement Assistant," Serial No. 09/865,798, filed on May 25, 2001.
/AB/	BS	AS/400 Publication, "Performance Management/400 Offerings and Services, including Performance Management/400 - Subset," Version 3, SC41-0145-02, September 1994.
/AB/	BT	

EXAMINER /Asghar Bilgrami/	DATE CONSIDERED 04/26/2007
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/892,435
Filing Date: June 27, 2001
First Named Inventor: Royan H. Bartley
Art Unit: 2152
Examiner Name: Unassigned
Attorney Docket Number.: ROC920010184US1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
/AB/		Emil Abrascid, Data Manager Online, Copyright 1999.	
/AB/		IBM e-business on demand: the next wave of IT Services by IBM Global Services, January 2002.	

Examiner Signature	/Asghar Bilgrami/	Date Considered	04/26/2007
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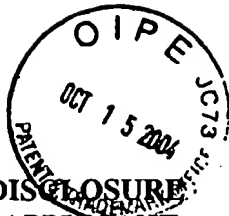
¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/892,435
 Filing Date: June 27, 2001
 First Named Inventor: Royan H. Bartley
 Art Unit: 2143
 Examiner Name: A. Bilgrami
 Attorney Docket Number.: ROC920010184US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
/AB/		US - 5,845,077	12-01-1998	Fawcett	
↓		US - 5,930,357	07-27-1999	Fukui	
↓		US - 6,425,126	07-23-2002	Branson et al.	
↓		US - 2002/0129356 A1	09-12-2002	Hellerstein et al.	
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		US -			
		US -			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	/Asghar Bilgrami/	Date Considered	04/26/2007
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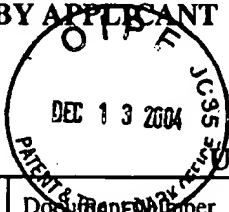
¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/892,435
 Filing Date: June 27, 2001
 First Named Inventor: Royan H. Bartley
 Art Unit: 2143
 Examiner Name: A. Bilgrami
 Attorney Docket Number.: ROC920010184US1

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/AB/		US - 2002/0052947 A1	05-02-2002	Duimovich et al.	
↓		US - 2002/0156884 A1	10-24-2002	Bertram et al.	
↓		US - 6,643,654 B1	11-04-2003	Patel et al.	
↓		US - 6,708,155 B1	03-16-2004	Honarvar et al.	
↓		US - 6,775,699 B1	08-10-2004	DeLuca et al.	
↓		US - 6,792,455 B1	09-14-2004	DeLuca et al.	
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		US -			
		US -			
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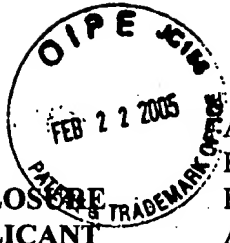
Examiner Signature	/Asghar Bilgrami/	Date Considered	04/26/2007
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Application Number.: 09/892,435
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 Examiner Name: Asghar Bilgrami
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/AB/		US - 5.826.000 A	10-20-1998	Hamilton	
		US - 5.828.899 A	10-27-1998	Richard et al.	
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		US - 6.138.249 A	10-24-2000	Nolet	
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		US - 6.321.338 B1	11-20-2001	Porras et al.	
		US - 6.591.418 B2	07-08-2003	Bryan et al.	
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↓		US - 6.654.891 B1	11-25-2003	Borsato et al.	
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FOREIGN PATENT DOCUMENTS

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